

RS  
#  
4  
9-19-02

Docket T-106

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: RICHARDS ET AL.  
  
Application No.: Not Yet Assigned  
  
Filed:  
  
For: System and Method of Communicating High  
Rate Pulse Signals in a Multipath Environment

Group Art Unit:  
  
Examiner:



INFORMATION DISCLOSURE STATEMENT

- ☒ 1 PTO 1449 Pursuant to 37 C.F.R. 1.97(b)  
(within 3 mo. or prior to 1st Office Action)
- ☐ 2 Certification Pursuant to 37 C.F.R. 1.97(c)  
(before Final Office Action or before Allowance)
- ☐ 3 \$230.00 Fee Payment Pursuant to 37 C.F.R. 1.97(c)  
(before Final Office Action or allowance)
- ☐ 4 Petition, Certification & \$130.00 Petition Fee Payment Pursuant to 37 C.F.R. 1.97(d)  
(before issue fee payment)

Hon. Commissioner of Patents & Trademarks  
Washington, D C 20231

Sir:

The following are submitted in the above-identified application in compliance with 37 C.F.R. § 1.97 and 1.98:

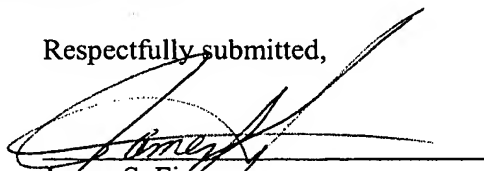
- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 C.F.R. §1.97(b) [within three (3) months of filing or prior to first Office Action]
- ☐ 7 37 C.F.R. §1.97(c) [before final action or Notice of Allowance, whichever is earlier];  
and
- ☐ 8 The required certification made in Item 11 below, or

- ☐ 9 The \$200.00 fee specified in 37 C.F.R. §1.17(p) for submission of this Information Disclosure Statement is authorized in Item 14 below.
- ☐ 10 37 C.F.R. §1.97(d) [before issue fee payment]; and
- a) This is a **PETITION** for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 C.F.R. §1.17(i)(1) is authorized in item 14 below, and
- b) The required Certification is stated in Item 11 below.
- ☒ 11 Certification
- ☐ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☒ 13 No item of information contained in this Statement was cited in a communication from foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Please bill applicant any fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application. An original and two (2) copies of this document are enclosed.

Respectfully submitted,



James S. Finn  
Reg. No. 38,450

James S. Finn  
7057 Old Madison Pike  
Huntsville, AL 35801  
(256) 428-6361

